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NOTICE OF ALLOWANCE AND FEE(S) DUE

24256 7590 12/10/2010

DINSMORE & SHOHL LLP
1900 CHEMED CENTER
255 EAST FIFTH STREET
CINCINNATI, OH 45202

EXAMINER

SCHNITZER, RICHARD A

ART UNIT

PAPER NUMBER

1635

DATE MAILED: 12/10/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/596,522	06/17/2008	Theresa M. Reinecke	10738-97A	7556
TITLE OF INVENTION: POLYAMIDES FOR NUCLEIC ACID DELIVERY				

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/10/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail **Mail Stop ISSUE FEE**
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 or Fax **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

24256 7590 12/10/2010

DINSMORE & SHOHL LLP
1900 CHEMED CENTER
255 EAST FIFTH STREET
CINCINNATI, OH 45202

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/596,522	06/17/2008	Theresa M. Reincke	10738-97A	7556
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TITLE OF INVENTION: POLYAMIDES FOR NUCLEIC ACID DELIVERY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	03/10/2011

EXAMINER	ART UNIT	CLASS-SUBCLASS
SCHINIZER, RICHARD A	1635	435-455000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a **Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____ Date _____
 Typed or printed name _____ Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/596,522	06/17/2008	Theresa M. Reincke	10738-97A	7556
24256	7590	12/19/2010	EXAMINER	
DINSMORE & SHOHL LLP 1900 CHEMED CENTER 255 EAST FIFTH STREET CINCINNATI, OH 45202			SCHNITZER, RICHARD A	
			ART UNIT	PAPER NUMBER
			1635	
DATE MAILED: 12/10/2010				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 63 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 63 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability**Application No.**

10/596,522

Examiner

Richard Schnizer

Applicant(s)

REINEKE, THERESA M.

Art Unit

1635

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☐ This communication is responsive to ____.
2. ☒ The allowed claim(s) is/are 9, 11, 12, 16-20, 22-26, 28, 29, 57-65 and 70-74.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: ____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date ____.
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date ____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date ____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date ____
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other ____.

/Richard Schnizer/
Primary Examiner, Art Unit 1635

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Hallie Wherley on 12/6/10.

The application has been amended as follows:

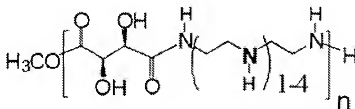
IN THE CLAIMS:

Cancel claims 1, 3, 6, 7, 8, 30, 31, and 33-55.

Rewrite claim 9 as follows.

9. A kit comprising at least one biologically active molecule and at least one cellular delivery polymer, wherein the cellular delivery polymer is a poly(hydroxylamidoamine), wherein the biologically active molecule is a nucleic acid, and wherein the poly(hydroxylamidoamine) comprises:

a poly(L-tartaramidoamine) depicted by the following structural formula:



wherein n is 1 or greater than 1,

$$\text{H}_3\text{CO}-\left[\begin{array}{c} \text{O} \\ \parallel \\ -\text{C}-\text{CH}(\text{OH})-\text{CH}(\text{OH})-\text{CH}(\text{OH})-\text{C}-\text{NH}- \end{array} \left(\text{---CH}_2\text{---N---} \right)_{1-3} \text{CH}_2\text{---N---} \right]_n$$
$$\text{H}_3\text{CO}-\left[\begin{array}{c} \text{OH} \quad \text{OH} \quad \text{O} \\ | \quad | \quad || \\ \text{---} \text{C} \text{---} \text{C} \text{---} \text{C} \text{---} \text{N} \text{---} \left(\text{---} \text{CH}_2 \text{---} \text{N} \text{---} \right)_{1-4} \text{---} \text{CH}_2 \text{---} \text{N} \text{---} \text{H} \\ || \quad | \quad | \\ \text{O} \quad \text{HO} \quad \text{OH} \end{array} \right]_n \text{H}$$
$$\text{H}_3\text{CO}-\left[\begin{array}{c} \text{OH} \quad \text{OH} \quad \text{O} \\ | \quad | \quad || \\ \text{C}-\text{C}-\text{C}-\text{C} \\ | \quad | \quad | \quad | \\ \text{H} \quad \text{HO} \quad \text{H} \quad \text{H} \end{array} \text{N}-\left(\begin{array}{c} \text{H} \\ | \\ \text{CH}_2-\text{CH}_2-\text{N} \\ | \\ \text{H} \end{array} \right)_{1-4}-\text{CH}_2-\text{N}-\left(\begin{array}{c} \text{H} \\ | \\ \text{CH}_2-\text{CH}_2-\text{N} \\ | \\ \text{H} \end{array} \right)-\text{H} \right]_n$$

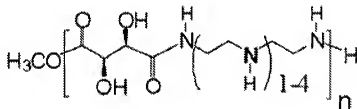
wherein n is 1 or greater than 1.

In claims 11, 19, and 28, delete the phrase "DNA, RNA hybrid molecules" and substitute --DNA:RNA hybrid molecules-- therefor.

Rewrite claim 12 as follows:

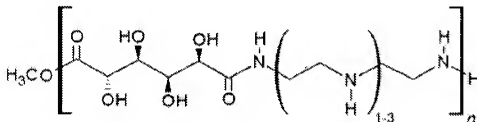
12. A complex comprising a cellular delivery polymer and an agent that is desirably taken up by cells, wherein the cellular delivery polymer is a poly(hydroxylamidoamine), wherein the agent is at least one nucleic acid molecule or at least one polypeptide or both, and wherein the poly(hydroxylamidoamine) comprises:

a poly(L-tartaramidoamine) depicted by the following structural formula:



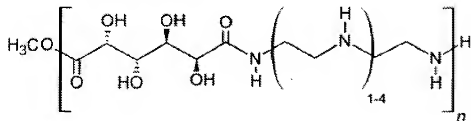
wherein n is 1 or greater than 1,

a poly(D-glucaramidoamine) depicted by the following structural formula:



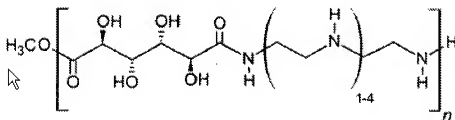
wherein n is 1 or greater than 1,

a poly(galactaramidoamine) depicted by the following structural formula:



wherein n is 1 or greater than 1,

a poly(D-mannaramidoamine) depicted by the following structural formula:



wherein n is 1 or greater than 1.

In claim 63, delete "poly(galactaramidoamine)" and substitute --
poly(galactaramidoamine)-- therefor.

Add claim 74 as follows:

74. A method of delivering a nucleic acid, a protein, or both, to a cell *in vitro* or *in vivo*, the method comprising contacting the cell with the complex of claim 12, the composition of claim 24, or the pharmaceutical composition of claim 26.

Withdrawal of Restriction Requirement and Rejoinder

After this amendment, claims 9, 11, 12, 16-20, 22-26, 28, 29, 57-65, and 70-74 are allowable. In accordance with MPEP § 821.04 and 37 CFR 1.104, the restriction requirement between groups 1 and 5, drawn to methods and compositions, respectively, in the action of 12/17/09 is withdrawn. All claims from original group 1 were canceled by Examiner's Amendment above, leaving only newly added method claim 74 which is joined with elected group 5.

Applicant is advised that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Reasons for Allowance

The following is an examiner's statement of reasons for allowance: The amendments to independent claims 9 and 12 limiting the claims to polyhydroxylamidoamines of the structural formulas set forth therein overcame the rejection under 35 USC 102(b) of claims 9, 1, 12, 16-20, 22-26, 28, 29, and 56 over Baker (2001).

The obviousness type double patenting rejection over claim 18 of copending Application No. 10/596,516 is withdrawn in view of the cancellation of claim 18 in that application.

The obviousness type double patenting rejection over claims 11-17 and 19-32 of copending Application No. 10/596,520 is withdrawn because the '520 application has the same effective filing date as the instant application, the instant application claims the base invention of the polyamidoamine polymers and their use to deliver biological agents to cells, and the instant claims are otherwise in condition for allowance. See MPEP 804(I)(B)(1).

The obviousness type double patenting rejection over claims 1-19 of copending Application No. 12/134,556 is withdrawn because the instant claims are entitled to an earlier filing date and are otherwise in condition for allowance.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner(s) should be directed to Richard Schnizer, whose telephone number is 571-272-0762. The examiner can normally be reached Monday through Friday between the hours of 6:00 AM and 3:30. The examiner is off on alternate Fridays, but is sometimes in the office anyway.

If attempts to reach the examiner by telephone are unsuccessful, the Examiner's acting supervisor, Heather Calamita, can be reached at (571) 272-2876. The official central fax number is 571-273-8300. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Richard Schnizer/
Primary Examiner, Art Unit 1635